

海外帳戶稅收合規法案聲明書 (個人投資者)

美國制定的《海外帳戶稅收合規法案》於 2014 年 7 月 1 日起生效。此等新稅務條例要求香港金融機構識別客戶是否美國公民或居民，並向美國稅務當局匯報。現就此事懇請閣下填妥及簽署此聲明書。

請就以下問題填寫合適答案：

1. 本人聲明本人為美國公民。 是 否

若是，本人之納稅人識別號碼為 _____

2. 本人聲明本人為美國居民(包括綠卡持有者)。 是 否

若是，本人之納稅人識別號碼為 _____

聲明

本人在此聲明本人已查閱此聲明之內容，並盡本人所知及確信，聲明內容均屬真實，正確及完整。本人同意如以上聲明有變更，將於 30 日內通知貴公司。

客戶簽署 _____ 日期 _____

客戶姓名 _____

註：請聯名帳戶每位持有人各自填寫一份聲明書。

FATCA SELF-CERTIFICATION (INDIVIDUAL INVESTOR)

The Foreign Account Tax Compliance Act ("FATCA") enacted in the United States of America ("US") become effective 1 July 2014. The new US tax regulations require Hong Kong financial institutions to identify and report US persons to the relevant tax authority. To certify whether you are a US citizen or resident, please complete and sign this self-certification form.

Please select the appropriate boxes below:

1. I certify that I am citizen of the United States of America. Yes No

If yes, My Taxpayer identification no. is _____

2. I certify that I am resident of the United States of America for US federal income tax purposes. (Includes green card holder) Yes No

If yes, My Taxpayer identification no. is _____

CERTIFICATION

I declare that I have examined the information on this self-certification and to the best of my knowledge and belief; it is true, correct, and complete.

I will inform your company within 30 days if any information herein becomes incorrect.

Client's signature _____ Date _____

Client's Name _____

Notes: Please complete a separate self-certification for each joint account holder.